

VIRGINIA ALCOHOLIC BEVERAGE CONTROL
BUREAU OF LAW ENFORCEMENT



QUARTERLY REPORT

3rd Quarter - 2023
July 1st through September 30th

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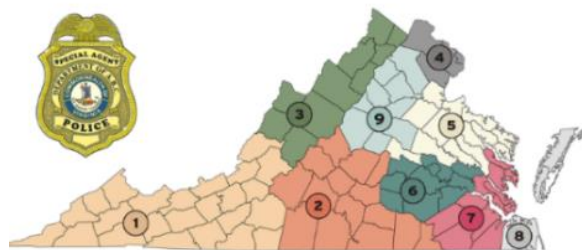
Executive Summary

The Governor's Expert Panel gave clear directions on the content of this quarterly report. This report follows those basic guidelines. Listed below is a synopsis of the data reported in each of those areas:

1. **Criminal Violations** – There were 213 charges placed this quarter with 120 (56%) charges related to alcohol violations. Last quarter there were 143 charges placed with 123 (86%) charges being alcohol related. Arrests are up 49% compared to last quarter.
2. **Regulatory violations** – This quarter saw a 47% decrease in violations brought to hearings and an 8% increase in written warnings when compared to 2Q 2023. There was a 5% decrease in regulatory charges adjudicated in hearings with 191 in 2Q 2023 and 182 in 3Q 2023.
3. **Field Contacts** – There were no field contacts made this quarter.
4. **Planned Operations** – There were 6 planned Operations – 4 were controlled and run by VA ABC Bureau of Enforcement and two were assisting local law enforcement agencies.
5. **Use of Force Reports** – There were no reported Use of Force incidents this quarter.
6. **Internal Investigations** – There are currently three open Internal Investigations. There were no informal complaints assigned to the field.
7. **License Activity** – Retail approvals are increased 5% for the third quarter of 2023 compared to the previous quarter. Retail license approvals are down 15% when compared to the third quarter of 2022. Banquet license approvals show a 7% increase when compared to the previous quarter and a 4% increase when compared to the third quarter of 2022.
8. **Inspections/Observations** – Inspections showed an increase of 4% when comparing third quarter of 2023 to second quarter of 2023, and a 55% increase when compared to the third quarter of 2022. Observations are down 50% when comparing third quarter 2023 to the previous quarter.
9. **Underage Buyer** -There were 960 Alcohol checks made and 714 Tobacco checks. The compliance rate for all Alcohol checks for the quarter is 87% and the compliance rate for Tobacco checks is 87%. Last quarter the compliance rate for Alcohol checks was 86% and for Tobacco checks it was 80%.
10. **Training and Community Outreach** – ABC presented over 1033 hours of training to law enforcement, licensees, and the general public this quarter. This included MART, RSVP, and training conducted during initial on-site inspections. There were 2250 hours of training received by ABC agents. These agents also attended over 914 hours of community outreach meetings.
11. **Accreditation** – The Virginia ABC Authority Bureau of Law Enforcement is a State Accredited Law Enforcement Agency under the authority of the Virginia Law Enforcement Professional Standards Commission, (VLEPSC) . VLEPSC Re-accreditation, (second award) was formally awarded on January 9th, 2020. A new reaccreditation Term began on November 1, 2019 and will end on October 31 , 2023. There are a total of 190 standards that must be met for each term of the four-year accreditation cycle, with numerous proofs required for each standard. All 190 standards have completed proofs.

Most of the above data will be broken down by region. The map below outlines Virginia by region:

Region 1-Roanoke, Region 2-Lynchburg, Region 3-Stauanton, Region 4-Alexandria, Region 5-Fredricksburg, Region 6-Richmond, Region 7-Hampton, Region 8-Chesapeake, Region 9-Charlottesville



Arrests

Criminal Charges

This table reflects the total and type of criminal charges that were placed by ABC enforcement personnel between July 1st through September 30th (third quarter 2023), and totals for the year.

| ARREST CHARGES 2023 | | | | | | | | | | | | |
|---|-------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|----------|----------|-------------|------------|
| CHARGE | REGION – Q3 | | | | | | | | | | YTD 2023 | |
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | Compl. | | Total |
| Alcohol Related Charges <i>*See Chart Below for Individual Charges</i> | 14 | 9 | 20 | 14 | 23 | 8 | 14 | 13 | 5 | 0 | 120 | 283 |
| Assault | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Larceny | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Shoplifting | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Fraud / Forgery | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Traffic Violations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Contribute to the Delinquency of Minor | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Embezzlement | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 2 |
| Firearms Violations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Tobacco Violations | 20 | 14 | 8 | 8 | 7 | 11 | 2 | 19 | 2 | 0 | 91 | 253 |
| Narcotics Violations | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 1 |
| TOTAL | 34 | 23 | 28 | 22 | 30 | 21 | 16 | 32 | 7 | 0 | 213 | 539 |

** The charges of larceny, shoplifting, embezzlement and fraud are primarily related to our retail stores.*

| *4.1 ALCOHOL RELATED CHARGES | REGION – Q3 | | | | | | | | | | YTD 2023 | |
|---|-------------|----------|-----------|-----------|-----------|----------|-----------|-----------|----------|----------|-------------|------------|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | Compl. | | Total |
| Sale of Alcoholic Beverage -Underage | 14 | 9 | 20 | 14 | 23 | 8 | 14 | 13 | 5 | 0 | 120 | 283 |
| Unlicensed Distilling Apparatus | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Illegal Sale of Alcohol | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Possess Illegally acquired Alcohol | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Illegal Manufacture of Alcohol | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Aid and Abet Alcohol Law Violations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Maintain Common Nuisance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Drinking in Public | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Use False ID to purchase | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Underage purchase of Alcohol | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL | 14 | 9 | 20 | 14 | 23 | 8 | 14 | 13 | 5 | 0 | 120 | 283 |

Arrests by Region

This table breaks down the total criminal arrests - by region where the offense took place, comparing the percentage change from arrests in the second quarter of 2023 to arrests in the third quarter of 2023 and total for the year.

Note: These are arrest figures involving the three types of reportable arrests; In-custody, Released on a Summons and Warrant. These numbers may differ from the number of charges placed by ABC Enforcement.

| ARRESTS BY REGION | | | | |
|----------------------------|-----------------|-----------------|------------|------------|
| REGION | 2Q 2023 Arrests | 3Q 2023 Arrests | % Change | YTD 2023 |
| Region 1 - ROANOKE | 29 | 34 | 17% | 95 |
| Region 2 - LYNCHBURG | 12 | 23 | 92% | 78 |
| Region 3 - STAUNTON | 20 | 28 | 40% | 71 |
| Region 4 - ALEXANDRIA | 20 | 22 | 10% | 64 |
| Region 5 - FREDERICKSBURG | 15 | 30 | 100% | 58 |
| Region 6 - RICHMOND | 12 | 21 | 75% | 48 |
| Region 7 - HAMPTON | 13 | 16 | 23% | 34 |
| Region 8 - CHESAPEAKE | 14 | 32 | 129% | 62 |
| Region 9 - CHARLOTTESVILLE | 7 | 7 | 0% | 28 |
| Compliance | 1 | 0 | N/A | 1 |
| TOTAL | 143 | 213 | 49% | 539 |

Arrest by Type and Race

This table reflects the race of arrested individuals in the third quarter of 2023. The data is broken down into the three types of arrests (On-View - Taken into Custody, Released on a Summons and Warrant - Taken Into Custody)

| ARRESTS BY TYPE AND RACE 3Q - 2023 | | | | |
|---------------------------------------|----------|------------|------------|------------|
| Race | On-View | Summons | In-Custody | Total |
| White | 2 | 112 | 1 | 115 |
| Black | 0 | 57 | 0 | 57 |
| Hispanic | 0 | 12 | 0 | 12 |
| Asian | 0 | 9 | 0 | 9 |
| Middle Easter | 0 | 12 | 0 | 12 |
| Indian | 0 | 1 | 0 | 1 |
| Unknown | 0 | 7 | 0 | 7 |
| Total | 2 | 210 | 1 | 213 |

Arrest by Age and Gender

This table reflects a breakdown by gender and age of all adults that have been arrested in the third quarter of 2023. This includes all types of arrests - (in-custody, released on summons and warrant).

| ARREST BY GENDER AND AGE – 3Q - 2023 | | | | | | | | | | | | | |
|--------------------------------------|----------|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|------------|
| Gender | Juvenile | 18-20 | 21-24 | 25-29 | 30-34 | 35-39 | 40-44 | 45-49 | 50-54 | 55-59 | 60-64 | 65 & Over | Total |
| Male | 1 | 4 | 12 | 10 | 11 | 8 | 7 | 2 | 8 | 7 | 9 | 8 | 87 |
| Female | 1 | 5 | 14 | 15 | 18 | 6 | 16 | 10 | 8 | 14 | 9 | 10 | 126 |
| TOTAL | 2 | 9 | 26 | 25 | 29 | 14 | 23 | 12 | 16 | 21 | 18 | 18 | 213 |

Regulatory Violations

A large portion of the work performed by Virginia ABC Special Agents involves the enforcement of rules and regulations applicable to ABC licensees. Violations can be dealt with by issuing a written warning or by presenting a violation case to the ABC Hearings Division. These violations can be punishable by fines, suspension or revocation of the ABC license.

Regulatory Charges Placed by Region

This table represents the total number of regulatory actions that were placed by enforcement personnel in the third quarter of 2023. Violations were routed to the hearings division for later adjudication while warnings were noted in the licensee file.

| REGULATORY CHARGES PLACED - BY REGION* | | | | |
|--|----------------------|--------------------|----------------------|--------------------|
| REGION | 3Q | | YTD 2023 | |
| | # Hearing Violations | # Written Warnings | # Hearing Violations | # Written Warnings |
| Region 1 - ROANOKE | 11 | 52 | 37 | 130 |
| Region 2 - LYNCHBURG | 9 | 26 | 33 | 84 |
| Region 3 - STAUNTON | 6 | 23 | 36 | 48 |
| Region 4 - ALEXANDRIA | 12 | 42 | 98 | 194 |
| Region 5 - FREDERICKSBURG | 14 | 20 | 17 | 37 |
| Region 6 - RICHMOND | 6 | 37 | 55 | 92 |
| Region 7 - HAMPTON | 5 | 23 | 43 | 124 |
| Region 8 - CHESAPEAKE | 4 | 37 | 43 | 88 |
| Region 9 - CHARLOTTESVILLE | 10 | 2 | 18 | 12 |
| Compliance | 14 | 22 | 28 | 33 |
| License Records Management** | 0 | 513 | 0 | 1383 |
| Total | 91 | 797 | 408 | 2225 |

*Count of individual charges

**License Records Management consists of system-generated warnings for late MBAR violations.

Regulatory Charges Adjudicated in Hearings

This table represents the total number and type of charges that were adjudicated by the ABC hearings division in this quarter as a result of the regulatory charges previously placed by ABC agents.

| REGULATORY CHARGES ADJUDICATED IN HEARINGS DIVISION 3Q-2023 | | | | | | | | | | | |
|---|---------|----|----|----|----|----|----|----|---|------|-------|
| CHARGES | REGIONS | | | | | | | | | Comp | TOTAL |
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| UAB | 17 | 12 | 26 | 17 | 17 | 7 | 9 | 12 | 4 | 0 | 121 |
| FAIL TO SUBMIT MBAR | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 |
| MBAR VIOLATIONS | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 2 |
| BAD CHECKS | 0 | 0 | 2 | 3 | 1 | 1 | 0 | 3 | 0 | 0 | 10 |
| FAILED TO COMPLY WITH REGULATIONS | 0 | 0 | 0 | 3 | 0 | 1 | 0 | 0 | 2 | 0 | 6 |
| UNAUTHORIZED ALCOHOL | 0 | 0 | 2 | 5 | 0 | 4 | 0 | 0 | 1 | 0 | 12 |
| PUBLIC SAFETY | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 3 |
| EMPLOYEE WITH CRIMINAL BACKGROUND | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| CONSUMPTION BY LICENSEE/EMPLOYEE ON DUTY | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| ESTABLISHMENT SO LOCATED | 5 | 0 | 1 | 0 | 0 | 3 | 0 | 1 | 0 | 0 | 10 |
| CAUSE EXISTS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| FAIL TO COMPLY WITH WHOLESALER REGULATIONS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| FAILS TO QUALIFY/FINANCIAL RESPONSIBILITY | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 1 | 0 | 4 |
| MANAGER NOT ON DUTY or NOT POSTED | 1 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| SALE TO INTOXICATED | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| DELINQUENT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| APPLICANT MISREPRESENTED/FALSE INFO | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| LOAN OR GIFT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| HAPPY HOUR VIOLATIONS | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| OTHER | 2 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 3 |
| Sub Totals | 29 | 13 | 33 | 32 | 18 | 18 | 11 | 20 | 8 | 0 | 182 |

Field Contacts

A field contact is when an agent engages a citizen and conducts a brief interview. When an arrest does not occur as a result of a field interview, agents report the stop in a "Field Contact" report. This report documents the reason for the stop as well as identifying information about the individual. Scrutiny of these types of encounters enables law enforcement executives as well as citizens to monitor police/citizen interactions to guard against biased-based policing practices.

| FIELD CONTACTS BY REGION WITH DEMOGRAPHICS -3Q 2023 | | | | | | | | | | | |
|---|----------|----------|----------|----------|----------|----------|----------|----------|----------------|----------|----------|
| REGION | White | | Black | | Hispanic | | Asian | | Middle Eastern | | Total |
| | M | F | M | F | M | F | M | F | M | F | |
| Region 1 - ROANOKE | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Region 2 - LYNCHBURG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Region 3 - STAUNTON | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Region 4 - ALEXANDRIA | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Region 5 - FREDERICKSBURG | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Region 6 - RICHMOND | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Region 7 - HAMPTON | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Region 8 - CHESAPEAKE | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Region 9 - CHARLOTTESVILLE | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Planned Operations Executed

An “Operational Plan” is submitted for all structured, pre-planned operations/observations for which enforcement action is anticipated, (i.e.: any undercover operation, most search warrant executions, and any enforcement operation involving five (5) or more sworn personnel), prior to any Bureau sponsored special event, or where Bureau personnel are utilized by an outside agency for undercover activity.

This table is a summary of the planned operations that took place in third quarter of 2023.

| OPERATIONAL PLANS JUL - SEP 2023 | | | | | |
|----------------------------------|-----------------------|--------------|----------------|----------------|-----------------|
| DATE | REGION | TYPE | TOTAL STAFFING | ASST. OTHER LE | AGENCY ASSISTED |
| 7/13/2023 | Region 4 - Alexandria | Surveillance | 1 ASAC / 5 SA | No | |
| 7/19/2023 | Region 4 - Alexandria | Surveillance | 1 ASAC / 5 SA | No | |
| 8/10/2023 | Region 4 - Alexandria | Observation | 1 ASAC / 7 SA | No | |
| 9/19/2023 | Region 6 - Richmond | Surveillance | 1 ASAC / 6 SA | No | |
| 9/26/2023 | Region 8 - Chesapeake | Surveillance | 1 ASAC / 1 SA | Yes | Portsmouth PD |
| 9/26/2023 | Region 8 - Chesapeake | Surveillance | 1 ASAC / 1 SA | Yes | Portsmouth PD |

SAC – Special Agent in Charge
ASAC – Assistant Special Agent in Charge
SSA – Senior Special Agent
SA – Special Agent

Use of Force Incidents

The Bureau considers any amount of effort required by an agent to compel compliance by an unwilling subject that exceeds normal restraint or handcuffing to be a “Use of Force”; which requires a supervisor be notified and a Use of Force report completed.

| USE OF FORCE INCIDENTS - REPORTED 3Q – 2023 | | | | | | | | | | | |
|---|---------------|---------------------|-------------------|------|-----|-----|--------|----------------------|-----|-----|--------|
| General Information | | | Agent Information | | | | | Offender Information | | | |
| Date | Type of Force | Level of Resistance | Region | Race | Sex | Age | Injury | Race | Sex | Age | Injury |
| NO USE OF FORCE INCIDENTS REPORTS FOR THIS QUARTER | | | | | | | | | | | |

Internal Investigations

Any complaint alleging improper action or improper conduct by an employee, if job-related or in violation of the Standards of Conduct, other policies of the Bureau, Department of Human Resource Management, the ABC Policy and Procedures Manual, or criminal law, will generate an administrative internal investigation. There are two types of internal investigations that are conducted by the Bureau; Formal and Informal (the difference is explained below). Both types of investigations are documented and reported through the chain of command.

Formal Complaint(s)

Formal complaints involve allegations that are investigated by trained Internal Affairs investigators and, if substantiated, can lead to formal and significant disciplinary action.

| Active Formal Complaints | | | | | | | | |
|--------------------------|-------|-----------------|--------------------|---------------|-----------|--------|----------------|-------------|
| Date Received | FILE# | REGION | ALLEGATION(S) | Date Assigned | Date Due | Status | Date Completed | Disposition |
| 5/15/2023 | 23-02 | Charlottesville | Improper Conduct | 5/26/2023 | 7/10/2023 | Open | N/A | Pending |
| 6/12/2023 | 23-03 | Alexandria | Improper Conduct | 6/14/2023 | 7/29/2023 | Open | N/A | Pending |
| 8/14/2023 | 23-04 | Alexandria | Conduct Unbecoming | 8/15/2023 | 9/30/2023 | Open | N/A | Pending |

Informal Complaint(s)

Informal complaints are minor allegations of infractions investigated by mid-level regional supervisors and the complainant is satisfied with the resolution offered and only wishes the matter to be handled informally by the supervisor.

| INFORMAL COMPLAINT(S) | | | | | | |
|--|---------------|--------|--------|---------------|-------------|-------------|
| Date Received | Date Assigned | File # | Region | Allegation(s) | Date Closed | Disposition |
| NO INFORMAL COMPLAINTS THIS QUARTER | | | | | | |

License Activity Totals

A significant amount of an agent’s time is committed to the approval process of both retail and “one day” banquet licenses. This process involves background investigations, venue/establishment inspections and training.

Retail License Approvals

Retail Application approvals, sometimes tied to economic conditions, decreased 5.2% for the third quarter of 2023 when compared to the previous quarter. In 3Q of 2022 there were 559 retail license approvals compared to 474 in 3Q of 2023 reflecting a 15.2% decrease in approvals.

| APPROVED RETAIL LICENSES | | | | | | | | | | | |
|--------------------------|-----|-----|---------|-----|-----|---------|-----|-----|---------|-----|-----|
| 4Q 2022 | | | 1Q 2023 | | | 2Q 2023 | | | 3Q 2023 | | |
| OCT | NOV | DEC | JAN | FEB | MAR | APR | MAY | JUN | JUL | AUG | SEP |
| 174 | 159 | 143 | 189 | 154 | 181 | 148 | 195 | 157 | 145 | 153 | 176 |
| 476 | | | 524 | | | 500 | | | 474 | | |

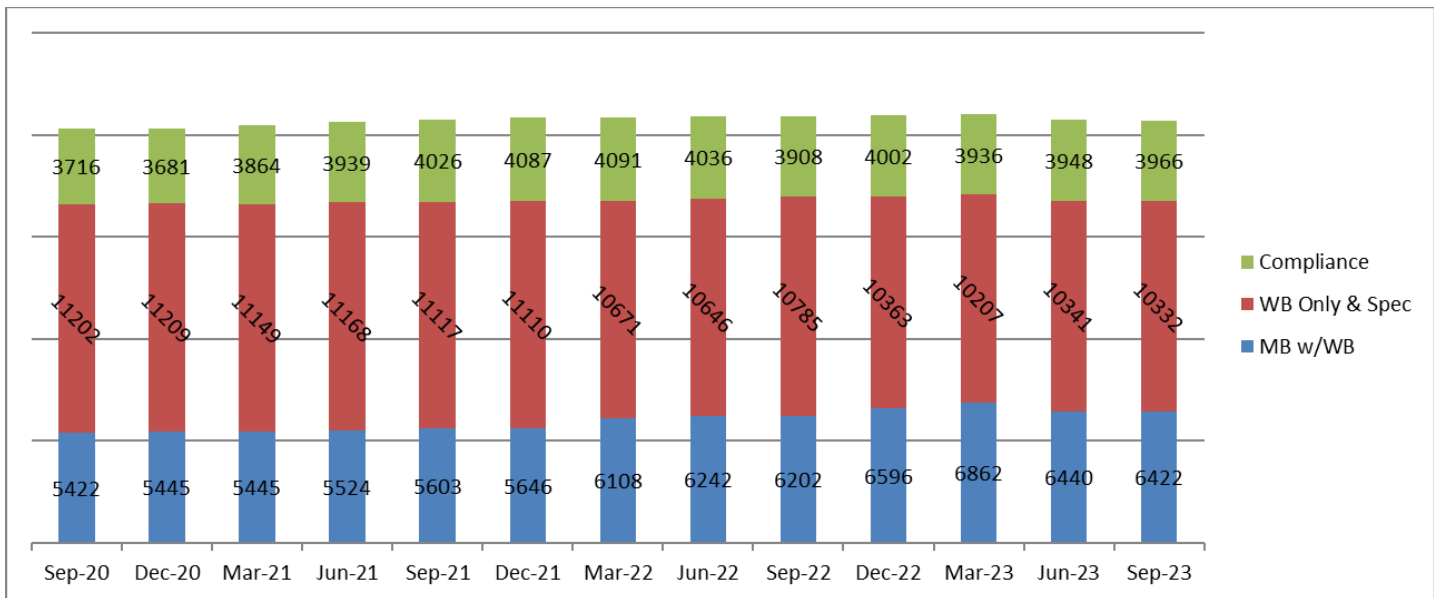
Banquet Licenses Approvals

Banquet License approvals, which follow seasonal trends, increased 7% in the third quarter when compared to the previous quarter. In 3Q of 2022 there were 6361 banquet license approvals compared to 6620 in 3Q of 2023 reflecting a 4% increase in activity compared to the third quarter of the previous year.

| APPROVED BANQUET LICENSES | | | | | | | | | | | |
|---------------------------|------|------|---------|------|------|---------|------|------|---------|------|------|
| 4Q 2022 | | | 1Q 2023 | | | 2Q 2023 | | | 3Q 2023 | | |
| OCT | NOV | DEC | JAN | FEB | MAR | APR | MAY | JUN | JUL | AUG | SEP |
| 2030 | 1473 | 1007 | 1196 | 1269 | 1893 | 2092 | 2173 | 1924 | 1796 | 2367 | 2457 |
| 4510 | | | 4358 | | | 6189 | | | 6620 | | |

Total Retail Licenses

Total Retail Licenses in the state has shown steady incremental growth.



Inspections / Observations

Licensee Inspections Completed

All ABC licensed establishments are subject to inspection by ABC agents. These inspections are overt; the agent will inspect the premises for compliance with regulatory requirements, meet with the owner or manager to review business receipts and purchasing records, review previously submitted MBARs and answer any questions business employees may have about ABC laws and requirements.

| 2022 - 2023 LICENSE INSPECTIONS - BY REGION AND QUARTER | | | | | | | |
|---|-------------|-------------|-------------|-------------|--------------|------------------|----------------------|
| REGION | 2022 4Q | 2023 1Q | 2023 2Q | 2023 3Q | TOTALS | TOTAL LICENSES** | % LICENSES INSPECTED |
| 1 - Roanoke | 502 | 408 | 376 | 633 | 1919 | 2009 | 96% |
| 2 - Lynchburg | 336 | 297 | 426 | 466 | 1525 | 1209 | 126% |
| 3 - Staunton | 435 | 336 | 402 | 420 | 1593 | 1223 | 130% |
| 4 - Alexandria | 1488 | 1022 | 901 | 577 | 3988 | 4051 | 98% |
| 5 - Fredericksburg | 323 | 238 | 260 | 310 | 1131 | 1126 | 100% |
| 6 - Richmond | 1282 | 368 | 354 | 415 | 2419 | 2517 | 96% |
| 7 - Hampton | 882 | 429 | 491 | 355 | 2157 | 1465 | 147% |
| 8 - Chesapeake | 606 | 378 | 460 | 637 | 2081 | 2231 | 93% |
| 9 - Charlottesville | 353 | 84 | 184 | 210 | 831 | 974 | 85% |
| Compliance | 319 | 238 | 170 | 172 | 899 | 1968 | 46% |
| TOTALS | 6526 | 3798 | 4024 | 4195 | 18543 | 18773 | 99% |

**Records system only allows for a snapshot of the total license count. Total licenses do not include 1947 out-of-state licenses. License totals include Wine & Beer Off Premise Licensees which are only inspected every two years, thus the percentage of licensees inspected is not expected to be 100% for a one-year cycle.

Observations Completed

Agents also conduct unannounced, covert, plain-clothes observations of ABC establishments to ensure licensees are following all ABC laws and regulations. Many of these observations are follow-up investigations initiated by citizen complaints.

| FY 2022-23 OBSERVATIONS COMPLETED | | | | | |
|--|--------------------|--------------------|--------------------|--------------------|---------------|
| REGION | 2022 4Q | 2023 1Q | 2023 2Q | 2023 3Q | TOTALS |
| 1 - Roanoke | 35 | 15 | 32 | 4 | 86 |
| 2 - Lynchburg | 19 | 21 | 2 | 4 | 46 |
| 3 - Staunton | 5 | 3 | 18 | 12 | 38 |
| 4 - Alexandria | 2 | 10 | 2 | 0 | 14 |
| 5 - Fredericksburg | 5 | 8 | 6 | 2 | 21 |
| 6 - Richmond | 6 | 1 | 7 | 5 | 19 |
| 7 - Hampton | 4 | 1 | 4 | 2 | 11 |
| 8 - Chesapeake | 3 | 8 | 9 | 10 | 30 |
| 9 - Charlottesville | 0 | 1 | 7 | 5 | 13 |
| Compliance | 0 | 0 | 1 | 0 | 1 |
| TOTALS | 79 | 68 | 88 | 44 | 279 |

Underage Buyer Totals

The Underage Buyer (UAB) program is an ongoing effort by Virginia ABC to visit alcohol and tobacco retailers throughout the Commonwealth to verify compliance with the state age requirements which are 21 for tobacco and 21 for alcohol sales. Special Agents accompany underage operatives during attempts to purchase cigarettes or alcohol at grocery stores, convenience stores, restaurants, and other businesses, including Virginia ABC stores.

Underage Buyer Program Checks – Alcohol

| UAB ALCOHOL CHECKS COMPLETED - CY 2023 | | | | | | |
|---|------------------------------|-----------------------------|----------------------------|------------------------------|-----------------------------|----------------------------|
| REGION | 3Q | | | 2023 Totals | | |
| | # Checks Made | # Sales Made | Compliance Rate | # Checks Made | # Sales Made | Compliance Rate |
| Region 1 - ROANOKE | 88 | 15 | 83% | 213 | 45 | 79% |
| Region 2 - LYNCHBURG | 81 | 8 | 90% | 252 | 31 | 88% |
| Region 3 - STAUNTON | 119 | 20 | 83% | 218 | 43 | 80% |
| Region 4 - ALEXANDRIA | 136 | 13 | 90% | 276 | 33 | 88% |
| Region 5 - FREDERICKSBURG | 104 | 23 | 78% | 176 | 39 | 78% |
| Region 6 - RICHMOND | 115 | 8 | 93% | 222 | 14 | 94% |
| Region 7 - HAMPTON | 117 | 21 | 82% | 220 | 42 | 81% |
| Region 8 - CHESAPEAKE | 101 | 10 | 90% | 246 | 21 | 91% |
| Region 9 - CHARLOTTESVILLE | 85 | 5 | 94% | 160 | 16 | 90% |
| Compliance | 14 | 1 | 93% | 59 | 9 | 85% |
| Total | 960 | 124 | 87% | 2042 | 293 | 86% |

Underage Buyer Program Checks – Tobacco

| UAB TOBACCO CHECKS COMPLETED - 3Q - 2023 | | | |
|---|----------------------|---------------------|------------------------|
| REGION | # Checks Made | # Sales Made | Compliance Rate |
| Region 1 - ROANOKE | 123 | 17 | 86% |
| Region 2 - LYNCHBURG | 76 | 16 | 79% |
| Region 3 - STAUNTON | 42 | 5 | 88% |
| Region 4 - ALEXANDRIA | 122 | 8 | 93% |
| Region 5 - FREDERICKSBURG | 54 | 7 | 87% |
| Region 6 - RICHMOND | 116 | 12 | 90% |
| Region 7 - HAMPTON | 63 | 8 | 87% |
| Region 8 - CHESAPEAKE | 85 | 14 | 84% |
| Region 9 - CHARLOTTESVILLE | 33 | 3 | 91% |
| Compliance | 0 | 0 | N/A |
| Total | 714 | 90 | 87% |

Training

Virginia ABC Enforcement is committed to continuously improving the knowledge base and understanding of our Agents, our fellow law enforcement agencies, our licensees and the community. To this end, this quarter agents presented over 1032 hours of training to law enforcement, licensees, and the general public. Of the 481 hours of training instructed for licensees, 385 hours were conducted during site visits and 44 hours for MART and RSVP training. Of the 194 hours of training instructed to the public, 185 hours were for YADAPP training. There were 2250 hours of training received by ABC agents this quarter. These agents also attended over 914 hours of community outreach meetings.

| TRAINING AND COMMUNITY INVOLVEMENT - 3Q 2023 (Hours) | | | | | | | | | |
|---|------------------|-----------------|-------------------------|----------------------------|-----------------|---------------|--------------------------|----------------|----------------|
| REGION | Meetings | | | Training Instructed | | | Training Received | | Totals |
| | ABC Store | Licensee | Public/Community | Law Enforcement | Licensee | Public | In-House | Outside | |
| REG1 - Roanoke | 28.22 | 63.28 | 34.20 | 6.00 | 41.03 | 11.73 | 262.82 | 1.98 | 449.26 |
| REG2 - Lynchburg | 33.75 | 30.90 | 7.40 | 109.68 | 23.83 | 23.00 | 193.65 | 0.00 | 422.21 |
| REG3 - Staunton | 67.55 | 29.30 | 16.07 | 3.25 | 79.25 | 1.40 | 170.50 | 0.00 | 367.32 |
| REG4 - Alexandria | 4.62 | 28.03 | 9.75 | 91.00 | 88.58 | 24.97 | 387.37 | 9.23 | 643.55 |
| REG5 - Fredericksburg | 18.22 | 35.75 | 43.12 | 0.00 | 25.70 | 0.00 | 155.00 | 7.38 | 285.17 |
| REG6 - Richmond | 55.57 | 111.38 | 69.73 | 107.15 | 103.90 | 9.98 | 343.35 | 13.25 | 814.31 |
| REG7 - Hampton | 16.98 | 20.92 | 1.17 | 0.00 | 7.83 | 16.00 | 169.70 | 3.75 | 236.35 |
| REG8 - Chesapeake | 9.73 | 37.43 | 15.12 | 40.00 | 38.60 | 88.98 | 280.22 | 0.00 | 510.08 |
| REG9 - Charlottesville | 2.98 | 45.95 | 19.77 | 0.00 | 32.65 | 0.00 | 164.10 | 0.00 | 265.45 |
| Compliance Office | 0.00 | 54.77 | 2.47 | 0.00 | 40.38 | 17.98 | 87.65 | 0.00 | 203.25 |
| Training Office | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Totals | 237.62 | 457.71 | 218.80 | 357.08 | 481.75 | 194.04 | 2214.36 | 35.59 | 4196.95 |
| | 914.13 | | | 1032.87 | | | 2249.95 | | |

Accreditation

The Virginia ABC Authority Bureau of Law Enforcement is a State Accredited Law Enforcement Agency under the authority of the Virginia Law Enforcement Professional Standards Commission, (VLEPSC) . VLEPSC Re-accreditation, (second award) was formally awarded on January 9th, 2020. A new reaccreditation Term began on November 1, 2019 and will end on October 31, 2023. There are a total of 190 standards that must be met for each term of the four-year accreditation cycle, with numerous proofs required for each standard. All 190 standards have completed proofs

| ACCREDITATION ACTIVITIES | | | |
|----------------------------------|-----------------|--------------------|------------------|
| Mandated Activities | Required | In progress | Completed |
| Quarterly | | | |
| Regional Change Funds | 11 | 0 | 11 |
| Asset Forfeiture Funds | 11 | 0 | 11 |
| Evidence Room Inspection | 19 | 0 | 19 |
| Employee Benefit Fund | 1 | 0 | 1 |
| Semi-Annual/Term | | | |
| Vehicle/Equipment | 157 | 0 | 157 |
| Unannounced Evidence Inspections | 19 | 4 | 15 |
| Annual Evidence Audits | 10 | 0 | 10 |
| Yearly | | | |
| Standards | 190 | 15 | 175 |
| Proofs | 606 | 48 | 558 |